



**American Equity Investment
Life Insurance Company of New York**

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Certification of Trust Agreement

If a trust is the owner or beneficiary of a contract, please submit this form along with the first page of the trust, all signature and notary pages of the trust, and the page identifying the trustee(s) OR a full copy of the trust.

SECTION 1: CONTRACT INFORMATION

Contract Number(s): _____ Check here if the contract number is pending
Annuitant: _____ Relationship to trust: Grantor Trustee Beneficiary
Joint Annuitant: _____ Relationship to trust: Grantor Trustee Beneficiary
(if applicable)
Note: if the grantor is not an annuitant, the trustee must also submit the Trust Statement of Understanding (form 064268)

SECTION 2: TRUST INFORMATION

Full legal name of trust: _____
Effect date of trust: _____ Tax ID number: _____ State law which governs trust: _____
Preparer of trust: _____ Preparer's telephone number: _____
Type of trust: Living: Revocable Irrevocable
 Testamentary (please submit death certificate)
Was the trust validly executed?: Yes No
Is the trust currently in full force and effect?: Yes No
Does the trust have a U.S. bank account?: Yes No
American Equity of New York reserves the right to request additional Trust pages, if deemed necessary.

SECTION 3: GRANTOR/TRUSTEE INFORMATION

Grantor(s): _____
Trustee: _____
Full Name Phone Number
Address
Trustee: _____
Full Name Phone Number
Address
Trustee: _____
Full Name Phone Number
Address
Successor Trustee: _____
Full Name Phone Number
Address
Successor Trustee: _____
Full Name Phone Number
Address
Successor Trustee: _____
Full Name Phone Number
Address

When taking action on this contract, the trust requires paperwork be signed by: (Please mark the appropriate box.)
 all trustees a majority of trustees any trustee trust only has one trustee

SECTION 4: REPRESENTATIVE INFORMATION

My insurance representative or a person affiliated with my insurance representative is: (check all that apply)

a trustee a successor trustee a trust protector a trust beneficiary not associated with the trust in any manner

If you indicated your representative is a trustee, successor trustee, trust protector or trust beneficiary, please explain your relationship with the representative:

NOTE: American Equity of New York does not allow current or former representatives, their spouses, or persons affiliated with them to serve as the trustee or trust protector of a trust or to be named as the beneficiary of a contract owner's trust, unless the representative has a close familial relationship to the contract owner.

SECTION 5: TRUSTEE DECLARATION

The trustee(s) represents that if the trust is named as owner, it is authorized under the terms of the trust to purchase and hold an annuity contract, and that the trust and applicable beneficiaries of the trust have an insurable interest in the annuitant and the annuitant is permitted under applicable state law. If the trust is a beneficiary of the contract(s), it is authorized to receive annuity proceeds. The trustee(s) has determined the suitability of the contract for the trust and its conformance to income distribution requirements of the trust and to applicable federal and state law. The trustee(s) had an opportunity to consult with their own independent legal and tax advisors. All information contained in this certification accurately reflects the terms of the trust and the trustee(s) will hold American Equity of New York and its representatives, employees, and other representatives harmless from any action American Equity of New York takes at the direction of the trustee(s).

The trustee(s) understands and agrees that American Equity of New York's sole obligation is to perform under the terms of the contract(s) and that American Equity of New York may rely on the signature(s) of the trustee(s) in the same regard as if they were the actual owner or beneficiary of the contract(s). American Equity of New York may rely solely on this certification as well as the statements and representations made on the application, as a basis for issuing and/or performing obligations of the contract(s) and determining the trust is in effect and the information provided is accurate; American Equity of New York has no obligation to investigate the terms of the trust or the authority of the trustee(s) and will not be accountable for knowledge about the terms of the trust beyond this certification; American Equity of New York has no responsibility regarding the use of any payments made to the trustee(s). American Equity of New York may rely upon the direction of the trustee(s) until American Equity of New York receives written notification at its home office, of a change of trustee. The trustee(s) agrees to notify American Equity of New York within a reasonable time after such a change occurs.

The trustee(s) further acknowledges and agrees that:

- neither American Equity of New York nor its independent representatives are authorized to recommend or sell trusts and that any trust recommendation should be provided by a qualified advisor;
- neither American Equity of New York nor its independent representatives, employees, or representatives are authorized to give tax or legal advice;
- the trustee(s) has not relied upon any representation or advice of any of American Equity of New York's independent representatives, employees or representatives with respect to the terms or validity of the trust or the utilization of the trust as the owner and/or beneficiary of the contract(s); and
- the purchase of the contract(s) is not required in conjunction with the establishment of the trust and that any fees, costs, and/ or expenses associated with the establishment of the trust are independent of any premium paid for the purchase of the contract(s).

SIGNATURES

Trustee Signature _____
Date

Trustee Signature _____
Date

Trustee Signature _____
Date

Corporate Trustee*

Name of Authorized Signer: _____ Title/Capacity: _____

Signature/Title _____
Date _____
Phone Number

*Corporate trustees must also submit a corporate resolution identifying those individuals authorized to sign on behalf of the corporation OR American Equity of New York's Corporate Certification (form 064385)