### American Equity Investment Life Insurance Company of New York

# **Partial Withdrawal Request**

Home Office: 1979 Marcus Ave, Ste. 210 Lake Success, NY 11042 516-622-2265 **Administrative Office:** P.O. Box 71157

Des Moines, IA 50325-0157 866-233-6660 • Fax 515-221-0490

WHILE WE STRIVE TO PROCESS REQUESTS IN A TIMELY AND EFFICIENT MANNER, REQUESTS MUST BE RECEIVED IN OUR OFFICE <u>NO LATER THAN DECEMBER 20TH</u> TO GUARANTEE PROCESSING WITHIN THE SAME TAX YEAR.

PARTIAL WITHDRAWAL INFORMATION  Please select one of the following:  10% Penalty-free  Total Accrued Interest
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□ 10% Penalty-free □ Total Accrued Interest
☐ Maximum Penalty-free ☐ Fixed Amount ☐ Net ☐ Gross
PLEASE NOTE: A surrender charge will be assessed for withdrawals that exceed the penalty-free amount under
contract provisions. Distributions prior to age 59½ may also be subject to IRS premature distribution penalties.
FEDERAL/STATE WITHHOLDING INSTRUCTIONS (MUST BE COMPLETED)
Federal law requires us to withhold 10% of the taxable portion of your distribution for federal income tax. You may elect not to have federal income tax withheld or you may elect a higher withholding rate. Even if you elect not to have federal income tax withheld, you may be responsible for paying estimated taxes. You may incur penalties under the estimated tax rules if your payment of estimated tax and withholding, if any, are not sufficient to satisfy your tax liabilities.
Your distribution may also be subject to state income tax withholding. Some states require that state income tax be withheld when federal income tax is withheld. Additionally, some states have minimum withholding requirements. If you live in one of these states, we will withhold state income tax as required by your state. In other states, withholding is voluntary. If you do not make a withholding election, or if you do not specify a withholding amount, we will withhold 10% federal income tax and will not withhold any state income tax, unless a different amount is required by your state.
Federal Withholding Election: (Please choose one)
I <u>DO NOT</u> want federal income tax withheld.
$\square$ I DO want the following federal income tax withheld:% federal (minimum withholding is \$10.00.)
State Withholding Election: (Please choose one)
☐ I <u>DO NOT</u> want state income tax withheld.
$\square$ I DO want the following state income tax withheld:% state (minimum withholding is \$10.00.)
In which state do you file your taxes?
STATE SPECIFIC INSTRUCTIONS:
ARIZONA residents: If you want to have Arizona taxes withheld, you must submit form A-4P.
MICHIGAN residents: If you were born after 1946, we are required to withhold state income tax from the taxable portion of your distribution, unless you elect not to withhold using form MI W-4P.
NEW YORK residents: If you want to have New York state taxes withheld, you must submit form IT-2104-P. Withholding is only permitted on periodic payments payable over a period of longer than one year.
Please consult a tax advisor for more information on withholding requirements for your state.
TAV DAVED IDENTIFICATION NUMBED (MUST DE COMPLETED)
TAX PAYER IDENTIFICATION NUMBER (MUST BE COMPLETED)
OR ————————————————————————————————————
Social Security Number Employer Identification Number

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**Administrative Office:** 

### TAX IDENTIFICATION CERTIFICATION (SUBSTITUTE W-9)

Under penalties of perjury, I certify that:

- 1. My Social Security number or taxpayer identification number shown on this form is correct;
- 2. I am not subject to backup withholding due to failure to report interest or dividend income;
- 3. I am a U.S. citizen or other U.S. person (as defined in the W-9 instructions); and
- 4. I am not subject to FATCA reporting because I am a U.S. person and the account is located within the United States. Certification Instructions You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest or dividends on your tax return. If you are not a U.S. citizen or other U.S. person for tax purposes, please cross out certifications 3 and 4 and complete and return to us the appropriate IRS documentation.

CONSENT OF SPOUSE - REQUIRED IF YOU RESIDE IN AZ, CA, ID, LA, NM, NV, TX, WA, OR WI

If you are married and reside in one of the states listed above, or a community property interest otherwise exists, your spouse must consent to this transaction by signing below.
x
Spouse Signature Date
We are entitled to rely on the information you provide in this section. If you do not indicate that you are married, we are entitled to rely on our good faith belief that no community property interest exists. If you are unsure of whether a community property interest exists, consult your legal advisor. We have no responsibility for determining the applicability of community property laws or the validity of the requested transaction. If you live in one of the states listed above and you do not complete this section, we will assume you are not married and no community property interest exists. By signing this form, you are certifying that the information provided in this section is true.
COMPLETE THIS SECTION IF YOU WOULD LIKE THIS PAYMENT AUTOMATICALLY DEPOSITED TO YOUR BANK ACCOUNT
Select One:
$\square$ I have previously submitted my bank account information to American Equity of New York for the purpose of
receiving electronic payments. I would like to use the bank account information on file with American Equity of New York for this request. <b>Please Note: If no bank information is on file, I understand a paper check will be mailed.</b> □ Enclosed is American Equity of New York form 064062 to set up direct deposit.
PLEASE SIGN & DATE BELOW
The Internal Revenue Service does not require your consent to any provision of this document other than the certifications required to avoid backup withholding.
Owner's Signature* Date
*If you are signing on behalf of the owner, please indicate the capacity in which you are signing:
☐ Trustee ☐ Attorney-in-Fact ☐ Conservator/guardian ☐ Other:
Joint Owner's Signature* Date
*If you are signing on behalf of the joint owner, please indicate the capacity in which you are signing:
☐ Trustee ☐ Attorney-in-Fact ☐ Conservator/guardian ☐ Other: